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Serial No. 09/476,385  
Attorney Docket No.: 4960-29720

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Tsuei et al.**

Serial No. **09/476,385**

Filed: **December 30, 1999**

For: **Method and System for Payment  
Transactions and Shipment Tracking Over  
the Internet**

Art Unit: 624

Examiner:

**Dr. Geoffrey Allen**

Conf. No. 7756

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**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**PAPER FILING ASSOCIATED WITH AN ELECTRONIC IDS FILING**

Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

Sir:

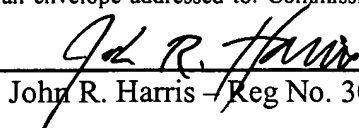
In accordance with the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) hereby submit the information listed on the accompanying forms PTO/SB/08A, PTO/SB/08B for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the documents listed in the accompanying forms are enclosed herewith.

**BASIS FOR THIS SUBMISSION**

An electronic information disclosure statement (IDS) is being submitted on this day concurrently with this paper IDS filing. Therefore the entire information disclosure statement submitted this day consists of each of the paper documents submitted herewith and each of the documents submitted in the electronic IDS filing.

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify under 37 C.F.R. § 1.10 that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450, on October 27, 2003.

  
John R. Harris - Reg No. 30,388

**SUBMISSION**

In accordance with the provisions of 37 C.F.R. § 1.97, this statement is being submitted as indicated below:

☒ (1) Pursuant to 37 C.F.R. § 1.97(b), (i) within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53 (d), or (ii) within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or (iii) before the mailing of the first Office Action on the merits, or (iv) before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114; or

☐ (2) Pursuant to 37 C.F.R. § 1.97(c), after the period defined in (1) but before the mailing date of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311 or an action that otherwise closes prosecution in the application, and

☐ the requisite Statement is below, or

☐ the requisite fee under 37 C.F.R. § 1.17(p), namely \$180, is submitted herewith,

or

☐ the requisite fee under 37 C.F.R. § 1.17(p), namely \$180, is submitted in connection with an electronic IDS filed concurrently on this day.

☐ (3) Pursuant to 37 C.F.R. § 1.97(d), after the period defined in (2) but before payment of the issue fee, and

☐ the requisite Statement is below, and

☐ the requisite fee under 37 C.F.R. § 1.17(p), namely \$180 is submitted herewith.

☐ the requisite fee under 37 C.F.R. § 1.17(p), namely \$180, is submitted in connection with an electronic IDS filed concurrently on this day.

It is respectfully requested that each of the documents listed on accompanying forms PTO/SB/08A, PTO/SB/08B and other information contained herein be considered by the Examiner and made of record in this application.

**STATEMENT**

As required under 37 C.F.R. § 1.97(e), Applicant(s), through the undersigned, hereby state either that:

☐ (1) Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or,

☐ (2) No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

**OTHER BASES FOR THIS FILING**

☐ The above identified materials are submitted in this Continued Prosecution Application (CPA) under 37 C.F.R. § 1.53(d) before the mailing of the first Office Action, and it is therefore believed that no fee for submission and consideration of this information is required pursuant to 37 C.F.R. § 1.97(b)(3).

☒ A Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 in conjunction with this application:


(i) ☒ was filed on September 25, 2003; or

(ii) ☐ is filed concurrently herewith.

☒ The above identified materials are submitted in this application before the mailing of a first Office Action after the RCE filing, and it is therefore believed that no fee for submission and consideration of this information is required pursuant to 37 C.F.R. § 1.97(b)(4).

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rule of Practice in patent cases, or otherwise.

Respectfully submitted,

  
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